The imperfect correlation between morphological exponence and syntactic structure

Two case studies from West Circassian

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Download handout: bit.ly/KECNRS2025

Diagnosing syntax through morphological exponence

The premise

The absence of morphological exponence of a particular category correlates with the absence of the corresponding syntactic category in the structure.

morphological exponence ⇔ presence of syntactic category

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Example: DP vs NP

Bošković (2005, 2008, 2012), a.m.o.:

(*Öztürk and Eren 2020 on Caucasian languages!)

If a language lacks overt articles, nominals are NPs (= lack the DP layer).

Extended to language-internal contexts:

If nominals systematically lacks articles/definiteness marking in particular syntactic environments, these are NPs.

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My claim

The correlation between morphological exponence and syntactic structure is **at best imperfect**.

- Such diagnostics may render the correct results.
- ▶ But they must be applied with care.

Evidence: two case studies from West Circassian

1. Verbal cross-reference in nominalizations:

Does the absence of verbal cross-reference markers correlate with the absence of verbal functional structure? **No.**

2. Exponence of case:

Does the absence of case marking correlate with the absence of a DP layer?

No.

**Brief teaser: come hear about this at CaucLing!

Roadmap

Background on West Circassian

Case study 1: Absence of cross-reference morphology

Case study 2: Absence of case marking (short teaser)

Conclusion

Introduction bit.ly/KECNRS2025

Roadmap

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West Circassian

West Circassian (or Adyghe):

- Northwest Caucasian
- Republic of Adygea, Russia
- agglutinating, polysynthetic
- ergative case and agreement



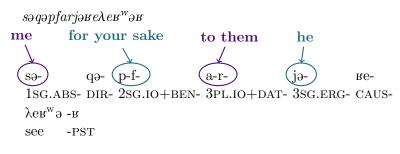
Data:

- fieldwork on the Temirgoy dialect in the Shovgenovsky district of Adygea (2017-2019)
- Adyghe Corpus by Timofey Arkhangelskiy, Irina Bagirokova, Yury Lander, and Anna Lander (http://adyghe.web-corpora.net/)

Disclaimer: Glossing and segmentation may be simplified for exposition.

West Circassian is polysynthetic

Head marking and pro-drop:



'He showed me to them for your sake.'

(Korotkova and Lander 2010:301)

Order of cross-reference markers:

Complex nominal morphology

- complements and modifiers incorporated
- include a mix of lexical and functional morphology

```
[c^weqe- əč'jə- š'əвən]- t^weč'an -xe -r footwear- and- clothes- shop -PL -ABS
```

'shops of shoes and clothes' (Lander 2017:93)

```
[abʒexe]- šəw -jə- š'
Abzakh- horseman -LNK- three
```

'three Abzakh horsemen' (Lander 2017:83)

Head marking on nominals

s- šəpχ^wəxer 1sg.pr- sister.PL.ABS

'my sisters'

1PL.PR- POSS- neighbor.PL.OBL

'our neighbors'

ALIENABLE

INALIENABLE

Case marking

- -r (ABS):
 - ► intransitive subject
 - direct object
- -m (OBL):
 - transitive subject
 - applied object
 - + complements of P
 - + possessors

\mathbf{S}

 $m \ni p \hat{s} \hat{a} \hat{s} e^{-\mathbf{r}}$ daxew $q \hat{a} \hat{s}^w e$ this girl-ABS well dances

'This girl dances well.'

\mathbf{O}

sabəjxe-m haxe-r qa λ eв $^{\rm w}$ əв children- $^{\rm OBL}$ dogs- $^{\rm ABS}$ saw

'The children saw the dogs.'

IO

mafe-qes jeǯaṗe-m seḳwe day-each school-**OBL** go

'I go to school every day.'

Case marking on possessors

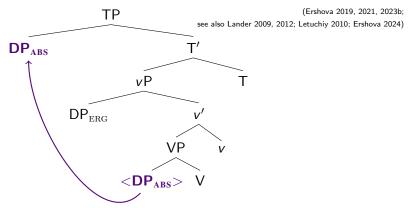
рŝaŝe- \mathbf{m} Ø-jә-pŝeŝe \mathbf{s}^{w}

girl-obl 3sg.pr-poss-female.friend

'the girl's friend'

High absolutive

- ▶ DP_{ABS} moves to Spec,TP
- ► DP_{ERG} (and DP_{IO}) remain in situ
- evidence from parasitic gaps and reciprocal binding



(Bittner and Hale 1996; Manning 1996; Baker 1997; Aldridge 2008; Yuan 2018, 2022; Coon et al. 2021; Royer 2023, a.o.)

Reciprocals in West Circassian

Reciprocals are covert and trigger **reciprocal agreement** on the predicate:

- correlates with syntactic position of the reciprocal
- ▶ does not affect transitivity ⇒ not a de-transitivizing operator

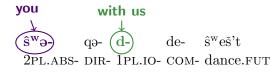
Reciprocals are subject to Condition A

= must be bound by a local c-commanding antecedent

(Ershova 2019, 2023b)

Reciprocal agreement

ABS external argument binds IO ⇒ REC replaces IO agreement



'You(pl) will dance with us'

BASELINE

Reciprocal agreement

ABS external argument binds IO \Rightarrow REC replaces IO agreement you with each other $\hat{s}^w \hat{e} \hat{s}^w \hat{e}$ qə- ze- de- $\hat{s}^w \hat{e} \hat{s}' \hat{t}$ 2PL.ABS- DIR- REC.IO- COM- dance.FUT RECIPROCAL 'You(pl) will dance with each other'

Reciprocal agreement does not affect transitivity

ERG binds IO

- ► REC replaces IO agreement
- ► ERG antecedent bears ERG case

```
axe-me \operatorname{?eg^w}əb\operatorname{\hat{z}e-r} \emptyset- \operatorname{ze-r-a-t}ə\operatorname{ze-t}ə that.PL-ERG cup-ABS 3ABS-REC.IO-DAT-3PL.ERG- give
```

'They pass the cup to each other.'

(http://adyghe.web-corpora.net/)

Reciprocal agreement does not affect transitivity

ABS binds IO

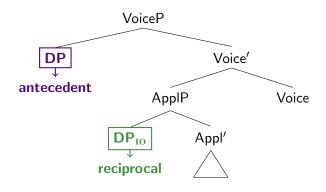
- ► REC replaces IO agreement
- ► ABS antecedent bears ABS case

```
sabəjxe-r Ø- z- e- pλəž'əx
child.PL-ABS 3ABS- REC.10- DAT- look.PL
```

'The children are looking at each other.'

Reciprocal binding is established via c-command

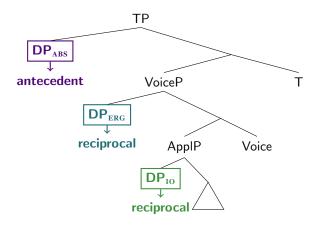
ABS/ERG external argument binds IO:



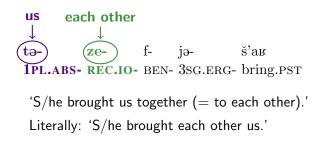
Syntactic ergativity in reciprocal binding

Reciprocals provide evidence for high absolutive syntax:

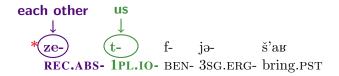
- reciprocals are bound by a c-commanding antecedent
- ▶ ABS binds ERG and IO \Rightarrow ABS c-commands ERG and IO



High ABS binds 10



High ABS binds 10



Literally: 'S/he brought us each other.'

High ABS binds ERG



'We saw you(pl).'

BASELINE

High ABS binds ERG



'We saw each other.'

RECIPROCAL

Obligatory high ABS: ERG cannot bind ABS

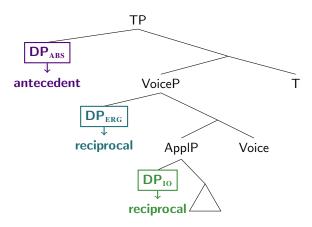


Intended: 'We saw each other.'

*ABS = REC

Reciprocals: summary

West Circassian displays syntactic ergativity in reciprocal binding: ABS binds ERG and IO \Rightarrow ABS c-commands ERG and IO



Basic clause structure: summary

West Circassian:

- polysynthetic: head marking and complex morphology
- ergative case marking and agreement
- ▶ high absolutive syntax

Roadmap

Background on West Circassian

Case study 1: Absence of cross-reference morphology

Case study 2: Absence of case marking (short teaser)

Conclusion

Diagnosing verbal structure in nominalizations

Nominalization = (some) verbal functional structure embedded under nominal functional structure

The standard question

- How much verbal structure is included in the nominalization,
- and how is this reflected in the morphosyntax (and semantics) of the resulting construction?

The danger of morphosyntactic diagnostics

Typical diagnostic:

If a verbal functional category is morphologically incompatible with the nominalization, it is absent in the syntax.

What I'll argue: This diagnostic is not always reliable.

Morphological exponence of a syntactic category may be **indirectly dependent** on the presence of higher functional structure.

Case study: mixed morphosyntactic properties of West Circassian nominalizations

West Circassian nominalizations: the narrative

Ershova (2020): nominalizations have diminished verbal syntax (=smaller than TP)

Supported by:

- 1. Nominal-like morphosyntax: argument licensing, adjectival modification
- (Apparent) absence of clause-level morphosyntax: clausal case licensing, high absolutive, adverbial modification, cross-reference marking

...but there are some inconsistencies:

- some cross-reference marking is still possible
- reciprocals still follow high absolutive syntax
- some adverbial modifiers are possible

Reconciling the inconsistencies

Main claim: Nominalizations are larger than they appear $(\approx TP)$

This means they include TP-level syntax, including:

- heads responsible for cross-reference marking and case licensing
- ▶ high absolutive syntax
- adverbial modifiers

Deceptively "nominal-like" morphosyntax is a result of:

- category-specific spellout conditions
- deficiency of some verbal categories (T, Voice, Appl) without indirect licensing by higher verbal structure (C)

Noun phrase structure

- cross-reference marking for the possessor
- complements and modifiers incorporated

```
tjə- <u>še-n-</u> xebze <u>-daxe</u> -xe -r

1PL.POSS- lead-NML- rule -beautiful -PL -ABS
```

'our beautiful rules of conduct' (Ershova 2020:431)

Nominalizations: absence of clausal syntax

```
Ershova (2020)
```

- arguments as possessors or incorporated
 - ⇒no verbal licensing/case
- ▶ no verbal cross-reference marking
- ightarrow possessor ϕ -marking

```
laʁe-xe-r Ø- s- e- thač'ə FINITE
dish-PL-ABS 3ABS- 1sG.ERG- DYN- wash
'l am washing dishes.'
```

wjə- leʁe- thaç̈'ə -ç̈'e 2sg.poss- dish- wash -NML 'your manner of washing dishes' **NOMINALIZATION**

Nominal, not verbal modifiers

Clausal (CP-sized) complement: ✓ manner adverbial

```
✓ djelaʁ-ew wə- qə- zera- ŝwe -re -r
stupid-ADV 2SG.ABS- DIR- MANNER- dance -DYN -ABS
'how you dance stupidly...'
```

Nominalization: *manner adverbial ✓ manner adjective

- * djelaʁ-ew wjə- qe- ŝwa -ç'e stupid-ADV 2sg.poss- DIR- dance -NML
- √ wjə- djeleʁe- qe- ŝwa -ç'e
 2sg.Poss- stupid- DIR- dance -NML
 'your stupid manner of dancing...'

... səg^w rjəhərep '... I don't like'

(Apparently) no high absolutive syntax

Strict order of arguments

The **internal argument** must appear closer to the verbal root than the **external argument**.

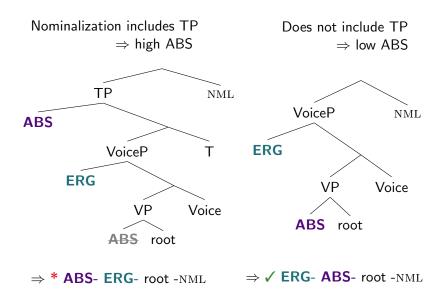
▶ 2-place intransitives: **ABS- IO-** root

► Transitives: **ERG-** ABS- root

Contrary to prediction of high ABS:

ERG and IO should appear closer to the root than ABS.

Argument order: High ABS prediction visualized



Internal argument must be closer to the root

```
ERG- ABS-

✓ pŝeŝe- leʁe- thač'ə -č'e -r
girl- dish- wash -NML -ABS

ABS- ERG-

# leʁe- pŝeŝe- thač'ə -č'e -r
dish- girl- wash -NML ABS

'the girls' manner of dish-washing' (Ershova 2020:461-462)
```

Nominal properties: summary

Ershova (2020): nominalizations are smaller than TP (\approx VoiceP)

Explains:

- no verbal cross-reference marking
- arguments must be incorporated or a possessor
- nominal, not verbal modifiers
- apparently no high ABS syntax

Next: this is challenged by

- possibility of some cross-reference marking
- high ABS with reciprocal binding
- possibility of some adverbial modifiers

Cross-reference marking in nominalizations

- full verbal ϕ -agreement is not available in nominalizations
- ▶ but other morphemes that are associated and intersperced with cross-reference marking are present
- ▶ and reciprocal and reflexive cross-reference marking is possible

Evidence for:

- heads responsible for cross-reference marking are present, including high ABS agreement
- reciprocals: evidence for binding by high ABS

Cross-reference-adjacent morphology is retained

```
"Directional" prefix qe-:
```

$$\mathbf{t}$$
ə- \mathbf{q} - \mathbf{j} ə- \mathbf{s} e- $\mathbf{\check{c}}$ 'ə- $\mathbf{\check{z}}$ '

1PL.ABS- DIR- 3SG.ERG- CAUS-rise-again

's/he raised us again'

FINITE

'its raising' (http://adyghe.web-corpora.net/)

NOMINALIZATION

Cross-reference-adjacent morphology is retained

Applicative prefix:

```
ŝheč'afe Ø- a- f- jə- ṣ̂ə-š'təʁ
respect 3ABS- 3PL.IO- BEN- 3SG.ERG- do-IPF.PST
```

'He was showing respect for them.'

FINITE

```
pš'ə- ŝheč'efe- fe- ṣ̂ə-č'e
prince- respect- BEN- do-NML
```

'showing respect for princes'

NOMINALIZATION

(http://adyghe.web-corpora.net/)

Nominalizations allow anaphor agreement

reciprocal agreement with applicative

axer Ø-
$$\overline{\text{ze-f-}}$$
 e- $g^w \partial \hat{\text{ze-f-}}$ v they.ABS 3ABS- REC.IO-BEN- DYN- endeavor -PL

'They work hard for each other.'

FINITE

'their manner of working hard for each other' NOMINALIZATION

Nominalizations allow anaphor agreement

reciprocal agreement with ergative

$$\Rightarrow$$
 DP_{ABS} binds DP_{ERG}
 \Rightarrow **high absolutive!**

'They are making each other dance.'

FINITE

'their manner of making each other dance' NOMINALIZATION

Reflexives

- ► reflexives are local subject oriented (Ershova 2019, 2023b)

 ⇒ bound by highest DP in VoiceP
- reflexive agreement is possible in nominalizations

Reflexive agreement with absolutive

'This girl made herself dance.'

FINITE

jə-
$$\overline{z}$$
ə- \overline{q} ə- \overline{s}^w a - \check{c} 'e 3SG.POSS- $\overline{REFL.ABS-}$ DIR- CAUS- dance -NML

'her manner of making herself dance'

NOMINALIZATION

⇒ Head responsible for high ABS agreement (T) is present!

Some adverbial modifiers are possible

- ▶ temporal modifiers not marked with -ew (ADV)
- ► CP-sized adjunct clauses

Nominalizations include temporal adverbs

```
[ mafe-qes wjə- t^wəčan- k^we-n ] sjezeš'əʁ day-each 2sg.poss-store- go-nml I am tired
```

'I'm tired of your going to the store every day.'

Compare with non-derived nouns:

* mafe-qes pjerjedač day-each broadcast

Intended: 'everyday program'

Concessive clausal adjunct in nominalization

```
səg<sup>w</sup> rjehə [ jə- leʁe- thač̞'ə -č̞'e
I like 3sg.poss- dish- wash -NML
[ psə š'əməjemjə ] ]
water despite not having
```

'I like his/her washing the dishes despite not having water.'

Manner clausal adjunct in nominalization

```
səg<sup>w</sup> rjəhərep [ jə- aҳš'e- ве- ḳwa -č'e
I don't like 3sg.poss- money- caus- go -nml
[ njewəš'remafem jeməg<sup>w</sup>əpšəsew ] ]
tomorrow not thinking
```

'I don't like his manner of spending money without thinking about tomorrow.'

Hidden syntax in nominalizations: summary

Ershova (2020):

Nominalizations are incompatible with verbal cross-reference marking

(+ clausal argument case/licensing and some verbal modifiers) because the heads responsible for verbal cross-reference marking are absent.

⇒ Nominalizations are small.

My claim today:

This is challenged by:

- possibility of some cross-reference marking
- possibility of some adverbials
- high ABS syntax with reciprocal binding
- ⇒ Nominalizations are larger than they appear.

Why do nominalizations seem small?

If nominalizations include the heads responsible for cross-reference marking and case/licensing,

- why is most cross-reference marking disallowed?
- why are arguments ordered as if there is no high ABS syntax?

In brief:*

*Details in Ershova (2023a).

- ► The heads responsible for cross-reference marking are deficient in nominalizations
 - \Rightarrow cannot agree with / assign case to fully $\phi\text{-specified DPs}$ and cannot license arguments
- ▶ lexical NPs are licensed by adjacency to the head that selects them
 ⇒ illusion of low ABS syntax

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Case marking bit.ly/KECNRS2025

Case marking as a diagnostic for structure

- Joint work with Nikita Bezrukov.
- ▶ This is a brief teaser. Hear more about this at CaucLing!

Basic premise: Nominal arguments may be unmarked for case in any syntactic position.

Arkadiev and Testelets (2019): Absence of case marking correlates with the absence of a DP layer

≈ pseudo-noun incorporation (Massam 2001; Öztürk 2005, 2009, a.o.)

Our claim:

Absence of case marking is part of a larger pattern of case allomorphy \Rightarrow does not indicate absence of functional structure.

Correlation between case marking and syntactic structure

Arkadiev and Testelets (2019): Caseless nominals share several properties of pseudo-incorporated NPs

- ▶ indefiniteness / nonspecificity
- ► low scope
- number neutrality

However, unlike pseudo-incorporation, caseless nominals

- are not constrained in word order (no adjacency requirement)
- do not affect valency/transitivity and trigger regular cross-reference marking
- may antecede pronouns

Pseudo-incorporation properties of caseless nominals

Indefiniteness and number neutrality:

```
stolə-m txəλ Ø-Ø-tje-λ
table-OBL book 3ABS-3SG.IO-LOC-lie
```

'There is a book on the table. / There are books on the table.'

(Arkadiev and Testelets 2019:731)

► Low scope:

```
tjetrad-pepč wəs-jə-tw Ø-Ø-de-tə-\kappa notebook-every poem-LNK-two 3ABS-3SG.IO-LOC-stand-PST 'In every notebook, there were two poems.' (different in every notebook, \forall > 2) (Arkadiev and Testelets 2019:733)
```

Caseless nominals don't behave like pseudo-incorporation

- no adjacency requirement
- ▶ no valency reduction
- trigger regular cross-reference marking

'The good doctor will cure you.' (Arkadiev and Testelets 2019:729)

 ${f ?aze-deb}^w$ ə jebaşe-m ${f \emptyset-j-e-be-\chi}^w$ əž'ə doctor-good always-OBL 3ABS-3sg.erg-DYN-CAUS-recover

'S/he is always treated by good doctors.' (Bagirokova et al. 2022:288)

Resolving the conflict

The puzzle: How do we reconcile the conflicting properties of caseless nominals?

Our response:

- ► Absence of overt case does not entail absence of D.
- Pseudo-incorporation properties of caseless nominals are compatible with a DP analysis.
 - **Contrast with pseudo-incorporated NPs in nominalizations!

Some definite/specific DPs are also caseless

personal pronouns and proper names

```
te məjeq<sup>w</sup>ape mə bzəλfəʁe-m jə-mašjəne-ç̈'e we(ABS) Maykop(OBL) this woman-OBL 3SG.POSS-car-INS tə-qe-k̄<sup>w</sup>a-ʁ
1PL.ABS-DIR-go-PST
```

'We went to Maykop in this woman's car.'

possessed nouns

a \hat{s}^w əzə-m **jə-ç'ale** dax-ew Ø-qe- \hat{s}^w e this woman-OBL **3sg.poss-boy** beautiful-ADV 3ABS-DIR-dance

'This woman's son dances beautifully.'

Some definite DPs are number neutral

```
[mə bzəλfəʁe-m jə-ha] zʁešxenew
this woman-OBL 3sg.poss-dog 1sg.erg.feed.mod.adv
jezʁež'aʁ
1sg.erg.begin.pst
'I began feeding this woman's dog / dogs.'

(See also: Bagirokova et al. 2022)
```

Scope might not be a good syntactic diagnostic

Deal et al. (2024): wide scope of ABS is not a good diagnostic for high absolutive syntax

Our conjecture: low scope might not be a good diagnostic for NP/DP distinction

Our data: caseless nominals may take wide scope

```
txə\lambda ç'el-jə-s'-me Ø-q-a-hə-в book boy-LNK-three-PL.ERG 3ABS-DIR-3PL.ERG-bring-PST 'The three boys brought a book.' (all three boys are sharing the same book; \exists > 3)
```

Summary: case exponence as a syntactic diagnostic

Our claim:

"Caseless" nominals are not caseless or structurally diminished.

Absence of overt case = result of case allomorphy:

[abs/obl]
$$\longrightarrow \emptyset$$
 / [indef | participant | PrName | ...]

There's much more to say!

At CaucLing we'll also cover:

- conditions on case allomorphy
- why number neutrality correlates with absence of overt case
- ▶ a scenario where non-overtness = absence in the syntax!

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Conclusion bit.ly/KECNRS2025

Morphological exponence and the syntax

- ► The absence of morphological exponence is standardly used as evidence for the absence of the corresponding syntactic structure.
- ➤ This diagnostic is likely to be accurate in many cases, but it must be applied with care.
- ► Case study: two scenarios of morphological absence in West Circassian which do not correlate with absence in the syntax

Conclusion bit.ly/KECNRS2025

Cross-reference markers in nominalizations

- ► Nominalizations in West Circassian are incompatible with verbal cross-reference markers.
- ► However, the heads responsible for cross-reference marking are present in the syntax, albeit deficient.
- Evidence:
 - 1. Reflexive and reciprocal cross-reference marking still possible.
 - 2. Reciprocals are bound by high absolutive.
 - 3. Some adverbial modifiers are possible.

Apparently caseless nominals

- Caseless nominals display some properties associated with a diminished (NP/nP-sized) syntax.
- But they also display properties typical of full DPs.
- Our claim: apparent caselessness is a consequence of case allomorphy.
- Evidence:
 - 1. Some definite DPs are also caseless.
 - 2. Some definite DPs are also number neutral.
 - 3. Narrow/wide scope may not be a good syntactic diagnostic.

Conclusion bit.ly/KECNRS2025

Nuances of unpronounced structure

"Anytime a syntactician claims there's a silent category, you should of course check your pockets for your wallet..."

(Carnie 2013:372)

...but if the overall picture (syntactic, morphological, semantic) aligns with the existence of an unpronounced category,

and its absence creates more puzzles than it solves,

positing an unpronounced category is warranted.

Conclusion bit.ly/KECNRS2025

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